

Minutes (DRAFT)
NCLIS Meeting
April 7-8, 1999

Members present	Martha Gould, Vice Chair; Abe Abramson; Walter Anderson; Rebecca Bingham; Joan Challinor; José-Marie Griffiths (4/7/99); Bobby Roberts; Joel Valdez; and Beverly Sheppard, Acting Director, IMLS
Members not present	Jeanne Hurley Simon, Chairperson; LeVar Burton; Mary Furlong; Frank Lucchino; and Winston Tabb (for the Librarian of Congress)
Staff and consultants present	Robert Willard, Executive Director; F. Woody Horton; Judith Russell; Barbara Whiteleather, Recording secretary
Guests present	Francis Buckley, Superintendent of Documents, Government Printing Office; Beth Bingham, Baton Rouge, LA; Barbara Cressman, Executive Secretary, University of Michigan; Denise Davis, Gale Research; Charles Ray Ewick, NCLIS Liaison, Chief Officers of State Library Agencies; William Gosling, Director of University Libraries, University of Michigan

Wednesday, April 7, 1999
University of Michigan, Hatcher Library

Opening Comments

Vice Chair Martha Gould called the meeting to order at 8:45 a.m. and welcomed the members, staff and guests.

Approval of Agenda

MOTION

It was moved by José-Marie Griffiths, seconded by Joel Valdez, to approve the NCLIS meeting agenda as amended.

The motion carried unanimously.

Approval of Minutes

MOTION

It was moved by Joan Challinor, seconded by Joel Valdez, to approve the draft minutes of the NCLIS meetings, December 3-4, 1998, and November 9, 1998, as submitted.

The motion carried unanimously.

Report of the Vice Chair

Vice Chair Gould reported that she has been in Washington, DC to meet with the Executive Committee and to work closely with the staff on a number of programs and projects, including drafting NCLIS bylaws; revising NCLIS travel policy on Commission attendance and representation at upcoming meetings and conferences; and planning for the Forum on Library and Information Services for Individuals with Disabilities, July 8, 1999. Commissioners were requested to submit their suggestions and ideas for the forum to Vice Chair Gould by the end of the day. She reported that the Executive Committee is suggesting that the meeting scheduled for July 9, 1999 be canceled. The Executive Committee is also recommending that the January 20-21, 2000, meeting in Los Angeles, CA, be postponed because of the several Commissioners are unable to attend and because of the high pollution.

Mr. Willard noted that the meeting schedule was formally approved at the December 3-4, 1998, meeting in Seattle, WA, and that a formal motion is required to change approved meetings.

MOTION

It was moved by Joan Challinor, seconded by Bobby Roberts, that the NCLIS meeting scheduled for January 20-21, 2000 be postponed.

The motion carried: 5 in favor; 2 opposed

Vice Chair Gould expressed her appreciation to Commissioners Abramson and Challinor for their assistance as members of the Executive Committee. She noted that the minutes of the Executive Committee meeting, March 15, 1999, were included in the meeting notebook, Tab B.

ARLIS/NA 27th Annual Conference

Commissioner Abramson briefly reported on the Art Libraries Society of North America (ARLIS/NA) Conference held in Vancouver, British Columbia, Canada, March 25-31, 1999. There were 464 registrants, and more lay librarians than museum persons. Two major issues covered by the discussants were: (1) copyright, e.g., ability to put collections online, licensing agreements; cataloging and indexing of bibliographic materials, and (2) professional development. He reported that attendees he met were not aware of the IMLS Leadership Grants. Commissioner Abramson has prepared a report which will be sent to the NCLIS office for distribution.

Demonstration, The Digital Library

The members, staff and guests assembled in the Faculty Exploratory Room for a demonstration of the School of Information (SI) Digital Library presented by Wendy Lougee, Associate Director, Digital Library Initiatives, and Kitty Bridges and Laurie Sutch, staff members, Faculty Exploratory Room.

The University of Michigan Digital Library (UMDL), sponsored by the National Science Foundation, the Defense Advanced Research Projects Agency, and the National Aeronautics and Space Administration, combines traditional notions of libraries with contemporary technological capabilities (such as the WWW).

The challenge facing the UMDL is to provide an infrastructure that lets patrons (and publishers) feel like they are working within a library and with the traditional emphasis on providing service and organized content. The Faculty Exploratory Room serves as the facility and program to support faculty development in overcoming some of the major technology problems facing the University's faculty today, such as:

- Faculty want to use technology to enhance research and teaching but don't know how;
- Students are more proficient than faculty and expect the faculty to use technology more; and
- Technology often doesn't live up to its promise of being easy to use

Through the Faculty Exploratory Room, the University of Michigan (UM) has adopted multiple solutions to these problems, focusing on all faculty roles: teaching, research, publishing and presenting at conferences and in classes. This fiscal year alone, a monthly average of 117 faculty members attended either “mini” or “immersion” workshops or walk-in service. Currently, the training center has 17 stations (Mac OS & Windows95), scanner, color printer, and a variety of software packages, and novice and non-users are especially welcome. Ms. Sutch stated that the philosophy of the program is, “In-reach in support of out-reach,” with a “hands on/hands off policy (our hands off; your hands on). The center offers information and assistance tailored to individuals needs to improve knowledge and teaching, offered on a low staff-to-faculty ration: 1:3, on most occasions. Some of the words used to describe the program are: excellent, flawless, motivating, superb, and fun. There has been a positive impact on librarians and serves as a ‘teaser’ to use the library. “In fact,” she stated, “business is booming!”

Ms. Bridges briefly discussed distance learning to achieve distance independence and the 1999 task force report, “An Educational Technology/Distance Education.”

The Commissioners were given a demonstration of the UMDL Initiative, a bibliographic search including cross-collection and in-depth analysis capabilities.

In summary, Ms. Lougee said that the future calls for increased interest in tools like EndNote and ProCite and discipline-specific tools such as Geographic Information System software and data for opportunities to integrate the technology into the work that is being done and to integrate technology and library resources. The overwhelming success of this project has resulted in looking toward support models.

Following the presentation, the members, staff and guests returned to the meeting room.

Appreciation to Commissioner Griffiths

MOTION

It was moved by Joan Challinor, seconded by Walter Anderson, that the Commission extend its sincere appreciation to Commissioner Griffiths for arranging the very informative presentation of the University of Michigan’s Digital Library.

The motion carried unanimously.

Resolution in Recognition of Carol Henderson

Vice Chair Gould reported that Carol Henderson, executive director of the American Library Association (ALA) Washington Office and an associate executive director of ALA, will retire on August 13 after nearly 14 years of service. Ms.

Henderson became director of the ALA Washington Office in 1994. Until then, she was the ALA Washington Office deputy director for 15 years. Ms. Henderson has served as the NCLIS Liaison for ALA since 1994.

Ms. Henderson was presented with a framed *Resolution in Recognition of Carol C. Henderson*, unanimously adopted by the members of the Commission. A copy of the resolution is attached (Attachment I).

In response, Ms. Henderson stated, “Thank you very much. The members and staff of the Commission have always been so hospitable to observers and liaisons. I have always enjoyed my association with the National Commission members and staff.”

Executive Director’s Report

Mr. Willard presented the executive director’s report, using a powerpoint presentation entitled, *Moving Forward: Multiple Fronts* (Attachment II). In summary, Mr. Willard reported:

- Denise Davis, Gale Research, has been selected as the Director of Statistics and Surveys, Library Statistics Program. Ms. Davis, a former data coordinator for the State of Maryland, is expected to begin on June 1, 1999.
- Elizabeth Bingham, Baton Rouge, LA, continues as the NCLIS consultant for the Sister Libraries project.
- Recruitment continues to hire a legislative/public affairs specialists (recommendations are requested).
- NCLIS’ appropriations request for Fiscal Year 2000 is \$1.3 million.

Mr. Willard noted that NCLIS has “insurmountable opportunities” on which it could take the leadership position, e.g., copyright and preservation, but inadequate resources to address everything. He noted, however, that in the past year the Commission has achieved numerous accomplishments (as reported in Chairperson’s appropriations testimony), including:

1. Directing the study of government publishing practices;
2. Providing advice on legislative initiatives to update Title 44;
3. Holding a hearing on “Kids and the Internet: the Promise and the Perils”;
4. Establishing the Sister Libraries program;
5. Constituting a Working Group on Issues of Journal Pricing, Publishing and Copyright;
6. Providing advice on federal grant programs to libraries;
7. Establishing the National Award for Library Service;
8. Strengthening Library Statistics Program;
9. Determining the state of Internet access in public library outlets; and
10. Supporting international library and archival initiatives

Mr. Willard briefly reviewed the NCLIS meeting schedule. He also reported that the NCLIS 1997-1998 Annual Report, and the Assessment of Electronic Government Information Products Survey are now being published. A prototype of the annual report was available for viewing, and the excerpt of the assessment was included in the NCLIS meeting notebook, Tab D. The 1998 National Survey of Public Library Outlet Internet Connectivity: Moving Toward More Effective Public Internet Access has just been received from the publisher, and copies are being sent to Commissioners. An excerpt was included in the meeting notebook, Tab D.

Mr. Willard noted that the 1998 survey report differs from previous survey reports in that commentary has been included on the concept of *effective public Internet access*. The Commission believes that achieving universal Internet *connectivity* is not enough. Libraries need to implement *effective public Internet access*, offering graphic capability, as well as sufficient speed, workstation functionality, and staff support to meet local needs. The survey found that a substantial number of public library outlets have Internet connectivity (83.6%), provide public Internet access (73.3%) and offer graphical public Internet access (68.6%). However, only 45.3% offer graphical public access to the World Wide Web at speeds of 56 kbps or greater. Based on the assessment, the Commission can advise the President and the Congress about the types and levels of support necessary to achieve *effective public Internet access*.

Other staff activity includes redesigning NCLIS' web site to make navigation easier and preparing NCLIS files and publications for archives. In addition, plans call for archiving historical NCLIS material on the web site.

In conclusion, Mr. Willard noted that the approved *NCLIS Action Plan, 1998-2000* (revised 4/98) serves as a working guide. "There are miles to go and much to be done. We can take great pride in the accomplishments of this tiny federal agency. There will be continuous improvement – that is our aim. I am grateful for the opportunity to be of service." Commissioner Valdez personally complimented Mr. Willard on "tremendous strides."

NCLIS Appropriations/Finance Report

Vice Chair Gould noted that the following items were included in the NCLIS notebook, Tab B:

- FY 2000 testimony of Chairperson Jeanne Hurley Simon before the House Subcommittee on Labor-HHS-Education Appropriations, March 25;
- FY 2000 budget request submitted to the House Committee on Appropriations, March 15; and
- NCLIS financial report through March 30

NCLIS Bylaws/Guidelines for Commissioner Travel

Copies of the NCLIS Bylaws (draft) and Guidelines for Commissioner Travel, Expenses, and Compensation (revised March 1999) were included in the meeting

notebook, Tab B. Comments and/or questions should be referred to the Executive Committee.

At the request of Vice Chair Gould, Commissioners will review the draft and submit their comments to the Executive Committee. Ms. Russell pointed out that the major changes occur on page 4, Section 3, *Travel Request, Approvals, and Reports*.

Forum on Library and Information Services for Individuals with Disabilities

In planning for the upcoming Forum on Library and Information Services for Individuals with Disabilities, Commissioner Challinor suggested that staff contact national organizations and programs associated with challenged children and adults, e.g., National Organization on Disability, Michael Deland, Chairman. Mr. Willard reported that much notice should be given to the upcoming forum after it is published in the Federal Register.

Commissioner Anderson urged that invitations be extended to organizations and societies concerned with the mentally challenged and youth and adults with learning disabilities. "This forum offers the opportunity for NCLIS to 'marry' literacy. A child with many forms of learning disability, such as dyslexia, can often use a computer quite easily. Unfortunately, that child cannot carry the computer with him everywhere. Many people do not know about the existence of a small, simple keyboard device, costing between \$150.00 to \$200.00, containing enormous amounts of information. This device can make a difference in the child learning or not learning," he said.

Mr. Willard pointed out that the Commission was established to advise the President and Congress on library and information policy matters. Information gained from this forum will enable NCLIS to provide advice on some of the policy implications, e.g., copyright issues.

In this regard, Commissioner Abramson suggested that an individual with disabilities be considered for a position on the Commission.

Individual suggestions and recommendations for the forum should be forwarded to Mr. Willard.

The following Commissioners indicated that they were unable to attend the forum: Anderson; Challinor; and Roberts.

Sister Libraries Project

Commissioner Challinor and Beth Bingham, NCLIS Consultant, presented a status report on the *Sister Libraries: A White House Millennium Council Project*. They were extremely pleased to report that a letter from the White House, dated March 17, 1999 stated that Mrs. Clinton will serve as the honorary chair. Commissioner Challinor

stated that, by a White House directive, the word “Council” must be included in the formal title of the project.

The NCLIS project is in partnership with Sister Cities and the National Museum Services Board. To launch the project and to obtain visibility, arrangements have been made for an exhibit to be displayed at the Sister Cities International meeting in Little Rock, AR, July 22-24, 1999; IFLA (Bangkok), American Association of School Libraries (Birmingham, AL) November 10-14, 1999, and Public Library Association (Charlotte, NC), March 2000.

Ms. Bingham reported that the initial focus is on partnering libraries for children and teenagers. The project has raised much interest, and, for example, just from the ListServ notice there have been 194 hits from libraries requesting information, including 13 high schools; 8 middle schools, and 10 elementary schools.

To date, no library in the following states have requested the application information: Idaho; Louisiana; Maine; Mississippi; New Hampshire; New Mexico; Vermont; West Virginia; and Wyoming. Two requests have been received from New Zealand, 1 from Australia, and 1 from South Africa, and a letter has been received from Save the Children in Lebanon.

Mr. Willard cautioned that the project is not without financial implications. NCLIS’ enabling legislation, Sec. 4, states, “The Commission is authorized to accept, hold, administer, and utilize gifts, bequests, and devises of property, both real and personal, for the purpose of aiding or facilitating the work of the Commission.” Commissioner Challinor has approached several major corporations regarding financial assistance. Commissioner Valdez suggested that major telephone companies be approached. Mr. Horton urged the Commission to maintain contact with UNESCO regarding this project, as well as in the implementation of other activities at the national/international level.

Ms. Sheppard asked, “This is a fascinating project, and there will be wonderful stories to tell as it develops. This presents a wonderful opportunity to share with the public the power of libraries. How will you share the impact and results of this project?”

In response, Ms. Bingham stated that several media plans are in progress, including:

- Establishing a database of libraries;
- Developing evaluation projects;
- Traveling exhibit with ongoing success stories;
- Supplying sample press releases for selected libraries;
- Numerous articles in major library journals (School Libraries, American Libraries; Library Journal, etc.); and
- Supplying “tip sheets” on how to become a sister library

Additional comments and suggestions should be forwarded to Ms. Bingham at bbingham@nclis.gov

UNESCO Public Library Manifesto

As background information, Mr. Willard stated that the State Department informed NCLIS of the *UNESCO Public Library Manifesto* and encouraged implementation of the Principles contained in the Manifesto. Although the U.S. is not an official member of UNESCO, we do have an official at UNESCO with observer status and the State Department is committed to implementing UNESCO objectives. It is from the State Department that the Commission receives the ICSECA funds from the State Department to implement information policy activities that the U.S. would support through UNESCO if it were still a member.

The Manifesto states, from a global perspective, the importance of public libraries. The U.S. has never officially adopted the Principles. Mr. Willard recommended that the members carefully review the Resolution encouraging U.S. implementation of the UNESCO Public Library Manifesto (Tab G). Further discussion on adopting the resolution was tabled until the afternoon.

“Kids and the Internet”

Mr. Anderson stated that the vast amount of concern regarding “Kids and the Internet” was voiced by people at the NCLIS hearing on November 9, 1998, and by librarians across the country.

The brochure on *Practical Guidelines for Librarians and Library Trustees* is being reprinted with a sharper photo of the children at the computer terminal. Since this is a ‘hot topic,’ media channels are being explored for distribution, including working with the Intellectual Freedom Committee. Commissioner Anderson cautioned against broadening the brochure to include guidelines for parents, which, he stated, is out of the charge of the Commission. Vice Chair Gould reported that the National PTA has issued an excellent brochure geared to parents on this topic.

The Commission requested that the hearing report, with complete testimony and additional statements submitted for the record, be prepared as soon as Mr. Willard can prepared the explanatory introduction (approximately 20+ pages). Vice Chair Gould encouraged staff to have the report published in time for the 1999 ALA Annual Conference in New Orleans, LA, June 26-29.

Library Statistics Program

Ms. Russell reported that Denise Davis, Gale Research, has been selected to be the Director of Statistics and Surveys, Library Statistics Program. Ms. Davis, a former data coordinator for the State of Maryland, will begin on June 1, 1999. At Mr. Willard’s suggestion, Ms. Davis will attend the Federal-State Cooperative System (FSCS) meeting, April 13 in Bethesda, MD, to help her become acquainted with related plans and programs.

The officials at the Department of Education are expected to sign the Memorandum of Understanding (MOU) within the month of April, which will then begin the transfer of funds to NCLIS from the National Center for Education Statistics.

As reported earlier in the day, the NCLIS publication, *Moving Toward More Effective Public Internet Access: The 1998 National Survey of Public Library Outlet Internet Connectivity* has just been received from the printer. The report has already been distributed to the depository libraries, and multiple copies were provided to ALA. Staff discussions continue with Dr. Charles McClure, Dr. John Bertot, and ALA about the possibility of a 1999 cooperative survey.

National Forum on Library and Information Services

Vice Chair Gould reported that she will be the keynote speaker at the White House Conference on Library and Information Services Taskforce (WHCLIST) Conference, May 1, 1999, Washington, DC.

Meetings and discussions continue on a national event, “something like” a White House Conference, that calls public attention to the importance of library and information services. However, there is overall agreement that the forum take on a completely different model, and be much less burdensome and much less expensive than the previous conferences. The members agreed that the Commission is the appropriate policy organization to oversee the agenda and to move the forum forward.

Public Access to Government Information

Mr. Horton reported that the final report prepared under contract by Westat has been received on time and on budget. The complex, long report is very worthwhile reading. The report will be widely distributed, and next steps depend upon feedback and reaction from the appropriate groups, such as the depository libraries and involved federal agencies.

The assessment clearly defines some targets of opportunity for follow up and for sharing best practices across agency lines. For example,

- There are many government information products not in the public domain. Why?
- There are user fees charged on a high percentage (15 percent) of products. Why?
- What can be done about agency confusion regarding the concept of “permanent public access?”
- What can be done about agency confusion regarding the concept of “authentication?”

Mr. Horton noted that Westat’s number one major finding was “the need to strengthen federal electronic publishing and public information dissemination policy.” “I hope we do not lose sight of that,” he stated.

To continue in its role as “honest broker,” Mr. Horton suggested the Commission launch Phase III of the project after feedback is received from the Federal Depository Library Council, the agencies, and the survey advisory group. “We should use the Westat findings as a point of departure and analyze them in grater depth. It is expected that the Phase III effort will result in broad conclusions and recommendations to the President and Congress about how the many problems and challenges revealed in the Phase II study can be constructively addressed.”

Mr. Francis Buckley, Superintendent of Documents, Government Printing Office, was invited to comment on the assessment and to discuss next steps. In summary, Mr. Buckley stated that the report can serve in an educational vein, providing useful baseline data which can serve as a picture of what is going on, or not going on, and the inherent agency problems. He stated that this study could serve as an educational tool for all agencies. The questions asked force agencies to look at what they were planning, or not planning, or just allowing to happen. GPO is very concerned about managing electronic transition and the issues of access and preservation of access to electronic government information. The assessment and the work of the agencies will help GPO move forward.

“There are no silver bullets, clear answers, or universally agreed to definitions, but that is the real state of affairs. And, the Westat study helps to document that fact. This assessment can serve as the springboard for much discussion and planning among GPO, NCLIS and other agencies,” Mr. Buckley said.

Mr. Horton stated, “The future effectiveness of the Federal Depository Library Program is inextricably related to our ability to strengthen overall federal electronic publishing and information dissemination policy.” Ms. Russell agreed and suggested that NCLIS could be instrumental in facilitating the move to the next step in the improvement in the flow of electronic information products to the public.

Mr. Willard suggested, and the members agreed, that the NCLIS Committee on Public Access to Government Information, chaired by Commissioner Abramson, have responsibility for planning for Phase III.

Calling Mr. Horton the “consummate collaborator,” Mr. Willard praised him on his outstanding work on this complex assignment and reported that Mr. Horton will continue his consultant work on Phase III on a part-time basis.

UNESCO Public Library Manifesto

As requested earlier in the day, the members reviewed the draft resolution, *Principles for Public Library Service: Encouraging U.S. Implementation of the UNESCO Public Library Manifesto*. After discussion, the following motion was introduced:

MOTION

It was moved by Joan Challinor, seconded by Rebecca Bingham, to adopt the resolution, Principles for Public Library Service: Encouraging U.S. Implementation of the UNESCO Public Library Manifesto.

The motion carried unanimously.

Mr. Willard suggested publishing the resolution in the form of an NCLIS Press Release prior to National Library Week.

U.S. Participation in International LIS Activities

Mr. Horton updated the Commission on the first-of-its-kind inventory of U.S. participation in international meetings and other forums discussing major policy issues and concerns of interest to the library and information science (LIS) community. With the results from this survey, NCLIS can build a profile of which individuals/institutions are attending which forums/meetings for what purpose. The NCLIS and the U.S. LIS communities will be in a position to focus on participation in the many conferences and meetings around the world. This project is being conducted with the strong support from the State Department. The Department is providing ICSECA funds for the project because they are very concerned that there is unnecessary proliferation of U.S. participation in international LIS activities.

The first wave of letters, dated March 24, were addressed to deans and directors of LIS colleges and universities; the second wave will be addressed to presidents and executive directors of major LIS associations. And, the third wave will be sent to members of the American Society for Information Science's Special Interest Group on International Information Issues.

Respondents will be able to complete the survey via an interactive form through NCLIS' web site. As a cost-saving measure, the possibility of using volunteer agents to establish and maintain the database is being explored. A sample letter was included in the NCLIS meeting notebook, Tab D.

When the survey is completed, NCLIS may wish to hold a meeting (perhaps online) to identify a lead institution or individual in a particular area, such as copyright, instead of scattering and/or diffusing efforts. NCLIS has never convened a meeting of the major players in the international LIS arena. For example, Mr. Horton noted, despite obvious commonalties, overlaps and interfaces, key participants in FID, IFLA, ICA, delegates to WIPO and ISO, and so forth, have never met in the same room. In addition, Mr. Horton pointed out, this type of activity definitely moves NCLIS into the international arena.

Commissioner Challinor applauded the international survey, stating, “The world is global; we should not have to attend IFLA to have the opportunity to discuss international LIS with others.”

NCLIS Action Plan

Mr. Willard recommended that the Executive Committee, acting as a strategic planning committee, “fine tune” the NCLIS Action Plan (rev. 4/98) by updating it in-line with FY 2000 appropriations request.

William A. Gosling, University of Michigan Library

Mr. William A. Gosling, Director, University of Michigan Library, was invited to speak to the members about some of the issues and challenges facing the Library today.

Mr. Gosling outlined three major areas of concern:

1. The changing nature of the academy, and the changing nature of discipline. The faculty is being called upon and pressured by the students for instructional technology/learning technology delivered into the classroom; e-mail is replacing office hours; web sites are replacing review sessions with teaching assistants; classroom presentation is dramatically changing; library services are becoming more one-on-one, just in time, by demand, and customized to the patron.
2. The introduction of distance education courses, raising concern and questions about copyright and licensing issues.
3. The evolving scholarly communications; the high and rising costs of science and medical journals; incorporating resources and technology to enable broad searches; retraining of staff faculty and end user; security and authentication; changing nature of the collections; the challenge of preservation; the great challenge of providing easier access to the many and varied information resources; the changing nature of the collections; and the list goes on and on.

In response to Vice Chair Gould’s question, Mr. Gosling reported that the approximate shelf-life for electronic databases is:

- CD products – 30 years
- Microfilm – 300-500 years

In addition, Mr. Gosling pointed out, 2-½ miles of books are received each year.

Journal Pricing, Publishing and Copyright

Professor MacKie-Mason has been working closely with Commissioner Griffiths, Chair, Working Group on the Issues of Journal Pricing, Publishing, and Copyright. In this connection, Professor MacKie-Mason was invited to discuss the preliminary project

analysis, *Economics and Electronic Access to Scholarly Information*. He is Professor of Information and Associate Professor of Economics at the University of Michigan (UM), a Research Associate at the National Bureau of Economic Research in Cambridge, MA, and the founding director of the Program for Research on the Information Economy (PRIE), UM.

Because of the important economic problems facing both research libraries and scholarly journal publishers, Professor MacKie-Mason explained how innovative bundling and pricing structures offer some hope of easing the library and publisher problems.

Using a powerpoint presentation, Professor MacKie-Mason described a field experiment, PEAK (Pricing Electronic Access to Knowledge), Professor MacKie-Mason stated, "Scholarly communication is poised at a potential crossroads as electronic resources and tools redefine the established norms. New technological means of delivering information to researchers and students also create challenges and opportunities for publishers and libraries. Traditional pricing schemes and product approaches often do not fit this new environment. The experiment will investigate new pricing and product plans for electronic journals and measure the value available from existing journal content and assess new and innovative sources of adding value."

The University of Michigan (UM) and Elsevier Science are working together on this pricing experiment to extend the cooperative relationship between the two organizations which began with the UM's participation in The University Licensing Project (TULIP). The experiment will last a minimum of 18 months during which UM will offer host services to other institutions and organizations under different pricing options. Initial services began in the summer of 1997.

The experiment explores both bundling and nonlinear pricing opportunities afforded by electronic access. The traditional print-on-paper journal is a bundle of issues, each of which is a bundle of articles and other items, each of which is a bundle including bibliographic information, an abstract, text and figures. When this information is archived in an electronic form, the material can be rebundled in many ways, for example:

- Per article (\$7/article – individual selects; only the individual has access);
- Traditional subscription (Price is always \$6/issue); and
- Generalized subscription (\$100 for first bundle of 25 articles; \$50 for each bundle of 25 thereafter – individual selects; only the individual has access)

Professor MacKie-Mason noted that the first two pricing options mirror the best-known traditional options; the third choice is more novel.

The overall goal of the experiment is to better understand the value derived from electronic access to traditional scholarly materials and to understand how additional value might be obtained. The experiment is designed to provide information useful to libraries, the broader scholarly community, and the publishing industry.

Some of the benefits to participating in PEAK include: (1) access is provided to nearly 1,100 Elsevier Science journals over a network without participants having to build or manage their own local host system; (2) the prices offered through the project are discounted and remain fixed over the life of the experiment; and (3) the PEAK project team will provide and report various usage data. The two costs associated with participation are: (1) an Institutional Participation License (a service fee paid to UM), and (2) access products (the prices paid for accessing journal articles).

After a brief question and answer period, the members expressed their appreciation to Professor MacKie-Mason for his informative presentation.

Commissioner Griffiths stated that the critical economic issue facing both research libraries and scholarly journal publishers is often seen as a library problem. But, it not just a library problem. It is society's problem. The Working Group has determined that if this trend continue, we risk the integrity of scholarly and research collections of published knowledge, and that there is a considerable need to build awareness of this risk outside of the library community.

The Working Group proposes that the Commission request the Big 12 Plus Library Consortium to produce a paper describing the core principles. Then, with the set of policy issues and recommendations in hand the Commission could organize a meeting of the various stakeholders to discuss and react to the set of recommendations prepared by the Big 12 Plus. Suggestions for such meetings included the Association of Research Libraries in Kansas City, May 1999, and American Society for Information Science, November 1999.

MOTION

It was moved by Joel Valdez, seconded by Rebecca Bingham, that the Commission will contact the Big 12 Library Consortium to request them to produce a paper describing the core principles.

The motion carried unanimously.

Barbara Cressman

The Commission acknowledged the invaluable assistance of Barbara Cressman, Executive Secretary to Commissioner Griffiths, in planning for the NCLIS meeting at the University. Ms. Cressman was applauded for hard work, which continued even while on leave from the office.

Legislative Update

At the request of Vice Chair Gould, Carol Henderson, executive director, American Library Association Washington Office, presented a brief update on legislative

issues. She noted, in particular, a proposed regulation encouraging agencies to provide not only government information electronically, but government services electronically, such as forms for fishing licenses, driver licenses, and income tax. This service will require developing ways to recognize electronic signatures, and will have a great impact on library staff services, capabilities, and costs. Ms. Henderson called for raising the issue that the impact of this service will impose on communities and institutions, such as libraries.

Mr. Ewick, NCLIS Liaison for Chief Officers of State Library Agencies (COSLA), added, “The government is relying on libraries for providing government information, and the library cannot provide all the answers.”

Additional legislative updates are scheduled to be heard on Thursday morning.

NCLIS COSLA Liaison

Mr. Ewick reported that Nancy Bolt, Assistant Commissioner for Libraries, Colorado State Library, has been named the new COSLA Liaison, replacing Bridget Lamont, former director, Illinois State Library.

School of Information

The members heard a presentation from Gary Olson, Interim Dean, UM School of Information (SI). The School was rechartered by the Board of Regents in 1996 to “pioneer the development and application of the principles and to educate professionals to lead in the information age.”

Dr. Olson outlined the School’s *Mission Statement*:

- Generate new knowledge in the study of information: how it is created, identified, collected, structured, managed, preserved, accessed, processed, and presented; how it is used in different environments, with different technologies, and over time.
- Deliver quality professional education,
- Educate new scholars.
- Build partnerships to solve fundamental problems.

SI students represent more than 50 undergraduate majors, including English, psychology, math, biology, computer science, general studies, education, law and medicine. The Master of Science program accommodates both full-time and part-time students, allowing students to specialize in four areas: Library and Information Services, Archives and Records Management, Human-Computer Interaction, and Information Economics, Management and Policy. SI also offers a “tailored” Master of Science in Information for students who choose to work closely with their faculty advisor and create an independent program.

Recent graduates have secured employment in libraries, schools, corporations, and nonprofit organizations throughout the country.

Praising the School of Information, Commissioner Griffiths stated, “The School of Information is one of the reasons I came to Michigan. The School is unique, but what is interesting is that it is into informing people from a variety of disciplines. The School has a great appreciation for the values of the library world and to do something better for society at large. It is with this combination of value and service that they have taken librarianship as a core and built upon it.”

Commissioner Griffiths stated that the recent reaccreditation of the School of Information by the American Library Association (ALA) demonstrates the need for librarians who can apply their professional skills in libraries that are evolving, changing, and growing. ALA’s accreditation of the entire Master of Science in Information program is an unprecedented decision, and reaffirms its commitment to support programs that prepare students for new and emerging careers in libraries, schools, universities, corporations, and nonprofit organizations.

Dr. William Powell, Interim Director, Library and Information Science (LIS) Programs, Wayne State University, spoke about the LIS degree and certificate programs offered.

Dr. Powell read the mission of the Wayne State University LIS program: “To educate individuals from diverse backgrounds to be lifelong learners, effective problem solvers, and professionals prepared to assume leadership roles in meeting current and emerging information needs of individuals, organizations, and communities.”

He explained that the Master of Library and Information Science (MLS) degree program prepares students to assume entry-level professional roles and to apply theory and principles of librarianship in varied library and information settings. The degree requires a minimum of 36 credits: 21 credits in the professional core; a minimum of 15 credits in an area of professional concentration. As part of the master’s program, all SI students participate in internships and practical engagements in addition to completing five core courses related to the LIS specialization and electives.

The Specialist Certificate in Library and Information Science is a post-MLS program designed for the practicing professional who desires specialized competence in a particular area of librarianship or information studies.

The Graduate Certificate in Archival Administration was established for both individuals entering the archival profession and for those with experience in the field.

Some of the future plans call for:

- Revising elective courses;
- Contemplating adding a doctoral program;
- Adding more and more distance education offerings;
- Increasing emphasis on urban librarianship; and

- Increasing the integration of LIS technically into our future but not abandoning our traditional service

Mr. Willard pointed out that this session with Professors Olson and Powell was scheduled, in particular, as an opportunity for members to learn about various LIS programs. This information will be particularly worthwhile in providing advice to IMLS on grant programs in this area.

The meeting adjourned at 4:30 p.m.

Thursday, April 8, 1999

From 8:00 – 9:00 a.m. the meeting was held at the Campus Inn, Ann Arbor, Michigan. The meeting was called to order at 8:00 a.m.

Vice Chair Gould introduced a Resolution in Recognition of Diane B. Frankel, former director, Institute of Museum and Library Services.

MOTION

It was moved by Joan Challinor, seconded by José-Marie Griffiths, to approve the Resolution in Recognition of Diane B. Frankel as submitted.

The motion carried unanimously.

A copy of the resolution is attached (Attachment III).

National Award for Library Service

Beverly Sheppard, acting director, Institute of Museum and Library Services, reviewed the draft description of the National Award for Library Service (NALS) patterned after the National Award for Museum Service (NAMS). She noted that NAMS began with one award, however, the norm now is about three awards per year. She stated, “It seems appropriate for the library community to have a similar national award honoring outstanding American libraries that have made a significant and exceptional contribution to their communities.”

The principal criterion for selection is the library’s community service, as evidenced by the library’s systematic, ongoing and exceptional commitment to its community. Achievements that might be highlighted include programming that demonstrates the effectiveness with which the library has attracted new audiences; innovative programming which addresses current, social, or economic issues; and positive effects of the library’s collaboration with other institutions in the community.

Ms. Sheppard offered IMLS staff to organize and manage all aspects of the application process, including internal review and selecting and coordinating the final review process for NCLIS. Likewise, IMLS will be responsible for all costs associated with the process. NCLIS, however, will have to handle fundraising for the awards ceremony. Nominations will be reviewed by the NCLIS members, who will make recommendations to the IMLS director. The IMLS director will make the final selection(s). The award is strictly for recognition purposes; there is no monetary benefit at all. The award is presented in the form of a handsome certificate and, when possible, the recipient's picture is taken with the First Lady.

Commissioner Roberts strongly suggested that libraries of all sizes be urged to submit applications.

Several suggestions were received for soliciting nominations for the new award, such as 'spreading the word' through NCLIS and IMLS web sites, newspapers, and library journals. Two other suggestions were: (1) a mailing to the libraries which submitted applications for LSTA grants; and (2) a one-page broadside distributed to the participants at the ALA Annual Conference, and coordinated with Carol Henderson, executive director, ALA Washington Office.

Ideally, the winners will be announced during National Library Week and the First Lady would present the award to the recipients in the spring 2000. Commissioner Challinor noted that it is more likely to attain the First Lady if the library and museum award presentations are combined. Commissioner Roberts stated that a joint award might be a natural type of progression. Mr. Willard suggested that these award presentations might ascend to the level of the Arts and Humanities Awards presentations.

Approximately 40-60 applications are received annually for the National Award for Museum Service (approximately 10,000 to 12,000 museums are eligible to apply).

National Leadership Grants

Ms. Sheppard encouraged NCLIS members to observe the following upcoming IMLS Panel Meetings re National Leadership Grant Applications:

1. July 19-20, 1999 – Education and Training;
2. July 22-23, 1999 – Research and Demonstration;
3. July 27-27, 1999 – Preservation or Digitization; and
4. July 29-30, 1999 – Museum and Library Collaborations

Commissioner Challinor stated that she observed a 1998 peer review panel and was extremely impressed with the process and the balance on expertise and geographic locations.

Status of NCLIS Appointments

Mr. Willard reported that he and Vice Chair Gould met with Walker Bass, Deputy Associate Director, White House Office of Presidential Personnel to express concern that NCLIS is approaching a critical number of vacancies. On July 19, 1999, the Commission will have a total of five vacancies. Commissioner Roberts expressed his desire to not fill out, yet another, set of forms for reappointment. It was noted that Mr. Bass indicated an interest in observing a future NCLIS meeting in Washington, DC.

Concern was expressed for steadfast representation from the Library of Congress; Mr. Willard offered to follow up regarding this concern with Mr. Tabb.

Status of Legislation

As requested, Ms. Henderson reported on key library issues and messages for Congress.

- *Library Services and Technology Act* – The Administration requested \$154.5 million for FY 2000. In FY 1999 LSTA was funded at \$166.2 million, of which \$150.8 million is for purposes specified in the law. The remainder was for specific grants required by FY 1999 appropriations language. Most funding goes to libraries through states: 3.75% is reserved for national leadership purposes, and 1.75% for services to Native Americans. Less than 2% is used for federal administration by IMLS.

Funding is always a key issue; action needed: Fund LSTA at the FY 1999 level. Doing so will better support literacy, education, and help libraries provide jobs and consumer health information, serve small business, and improve services through technology.

- *Elementary and Secondary Education Act Reauthorization* – Action needed: Funding ESEA VI (school innovation) at least at the current \$375 million (the Administration requested no funds at all); and funding ESEA III and other educational technology programs at the requested \$801 million.
- *Data Protection Legislation* – Action needed: Ensure that any legislation to provide additional protection for databases is specifically tailored to address a demonstrated problem, to avoid upsetting the careful balance historically a part of U.S. copyright law; accept the library associations' offer to work constructively with Congress and other parties to craft a bill focused on preventing piracy of commercial databases.

The following additional ALA legislative updates were included in the NCLIS meeting folder:

1. Library Services through Education and Literacy Programs;
2. Copyright and Distance Education;
3. Public Access to Government Information;
4. Universal Service, Discounted Telecommunication Rates;

5. Filtering Requirements; and
6. Higher Education Act, Next Generation Internet, Digital Libraries

Library and Information Science Program, Wayne State University

The members received a warm welcome from Dr. Patricia Senn Breivik, Dean of Libraries, Library and Information Science Program, Wayne State University. Dean Breivik was accompanied by Jean Garza, Assistant Professor, Clinical Laboratory Science Department, WSU; Edward Jack Griffen, Deputy Superintendent of the Ferndale Public Schools and Dane Ward, Coordinator of Information Literacy, WSU.

Presenting a brief overview of Wayne State University, Dean Breivik stated that the institution has a total of 15 schools and colleges and a diverse student body of over 30,000 students. The graduate school, with nearly 13,000 students, is the largest in Michigan and the fourth largest in the country. The Reuther Library of Labor and Urban Affairs is the largest archival library of its kind in the United States.

Dean Breivik spoke about Library and Information Science Program and the importance of information literacy stating, “The information literate person is able to recognize when information is needed and have the ability to locate, evaluate, and use it effectively.”

Dean Breivik also briefly discussed the National Forum on Information Literacy (NFIL), created in 1990 as a response to the recommendations of the American Library Association’s Presidential Committee on Information Literacy. The NFIL promotes information literacy as a means of individual empowerment within today’s Information Society. This new generation of information literate citizens will truly be America’s most valuable resource. NFIL, a coalition of over 75 education, business and governmental organizations works to promote international and national awareness of the need for information literacy and encourages activities leading to its acquisition.

Dane Ward stated that the availability of computers alone will not prepare students for a world in which the ever-expanding information base is predicted to double every 73 days by the year 2020. In order to cope with such a future, today’s students need more than the technology. They need to know how to be able to gather information from varied sources, synthesize it, interpret it, and evaluate it. Unless young people are taught to do this, they will become less than fully participating workers and citizens of the future.

Dean Breivik stated that she was pleased to report that the university faculty looked upon the library and the library school as vital resources to help acquire necessary Information Age skills. She outlined some of the challenges facing today’s educators and administrators:

- There is a tendency in education to develop prescriptive learning packages rather than “constructivist” ones. Prescriptive approaches will short-circuit students’ learning.

- Programs and initiatives are being sought to address many of these concerns through improved professional development programs for teachers, learning on the part of university administrators, and faculty collaboration among universities and public schools.
- Field experience among educators and administrators should become the norm.
- The definitions of learner, teacher must change. How does technology change teaching and learning practices?
- The explosion of information means that teachers must teach students how to search for, evaluate, and manage information.

Mr. Dane presented a demonstration of Im@gine, a regional online information system that will transform the way people in southeast Michigan access information. The system, which is being developed by DALNET, a diverse consortium of 21 libraries in collaboration with Ameritech, will offer access to traditional library resources as well as community resources related to consumer health, small business development and social services. It will enable libraries, archives and museums to digitize unique local collections and make them accessible as Im@gine databases. The goal of Im@gine is to eventually become an information hub for citizens in the seven-county Detroit metropolitan area and a recognized starting point for regional electronic information. Im@gine should be completed by the end of 1999. The web site can be accessed through the World Web Web at www.webpac.wayne.edu.

Mr. Griffen briefly described the Southeast Michigan Consortium's involvement in "Making Connections," a collaborative effort of school districts focusing on career development – connecting people, ideas and community to better equip students with academic and workplace skills for the 21st Century.

The meeting adjourned at noon. (Although not a part of the formal meeting, the Commission had the opportunity to meet with members of the Association of College and Research Libraries (ACRL) Board. The following text reports a discussion at this meeting.)

Association of College and Research Libraries

The Commission was invited to a luncheon meeting with the Association of College and Research Libraries (ACRL) Board of Directors, prior to the start of the ACRL 9th Conference, April 8-11, 1999. The ACRL members in attendance were: Maureen Sullivan, President; Cathy Henderson; W. Lee Hisle, Past-President; Helen Spalding, Councilor; Paul Dumont, Barbara Baxter Jenkins, and Carol Pheiffer, Directors-At-Large; Althea Jenkins, Executive Director; and Charles E. Beard, National Conference Planning Committee Chair.

In an informal around-the-table discussion, much concern seemed to focus on student learning in an Information Age. One particular concern was heard over and over: The need to foster cooperation between faculty and librarians to promote information literacy efforts and to enhance student learning. Other concerns expressed included:

- The need for digital and traditional libraries to work together to best serve the user community;
- Students do not like to use books;
- The need for fast retrieval for interlibrary loan in two days, as opposed to the normal two weeks;
- The need to return to the writing of complex term papers;
- The expectation from students has changed;
- Majority of librarians believe information should be a free good. “Want it all.”
- The market drives what is published;
- Students are technically savvy, but cannot find information otherwise;
- Need to balance content against technology; how to “marry” the two;
- Circulation of books is declining; big teaching job on the part of librarians to convince children there is more information than just online;
- Ability to maintain bibliographies; acquiring and cataloging Internet resources;
- The need for permanence and preservation of electronic material; preservation of older materials and databases;
- Distance learning; library services to distance education students;
- Continuing education for librarians; staying informed in a changing profession; extending the services of the university libraries
- Recruitment of excellent people into the profession; professional development; and quality education
- The changing nature of higher education

ACRL Member Slogan: ***K through 4 Ever.***

The informal meeting adjourned at 3:00 p.m.

Attachments

- I Resolution in Recognition of Carol C. Henderson
- II Executive Director’s Report (Powerpoint Presentation)
- III Resolution in Recognition of Diane B. Frankel

Executive Director's Report
Bob Willard
Ann Arbor, Michigan
April 7, 1999
Moving forward : multiple fronts

- Personnel
- Budget
- Programs
- Meetings
- Publications
- Planning

Personnel

- Denise Davis - Statistics and research
- Beth Bingham - consultant, Sister Libraries
- Open - Congressional & public affairs

- Resultant office moves shortly

Budget

- Current year on track
- 30% increase approved by OMB
- House hearing on March 25
- Plans to visit with individual members of Appropriations subcommittees between now and mid-May

Programs

- Detailed reports throughout this meeting
- “Insurmountable opportunities”
- Difficult to say “no” to new programs
 - **Digital libraries**
 - **Copyright**
 - **Literacy / information literacy**
 - **Preservation**

Programs

- GPO study
- Title 44 revision
- Kids & the Internet
- Sister Libraries
- Journal pricing
- IMLS grants
- National award for library service
- Library statistics
- Internet study
- ICSECA
- IFLA
- International survey

Meetings

- NCLIS
 - **July hearing on services for disabled**
 - **September joint meeting with NMSB**
 - **November meeting in conjunction with ASIS in Washington**
 - **half day session on LIS education**
 - **January meeting in Los Angeles with focus on literacy initiatives**

Meetings

- Other organizations
 - ARL, Kansas City
 - ALA, New Orleans
 - MLA, Chicago
 - SLA, Minneapolis
 - AALL, Washington
 - etc., etc.

Publications

- Annual Report
- GPO Study
- Bertot-McClure Study
- Kids & Internet Brochure

- Web site redesign

Planning

- Proactive vs. Reactive
- Consistency with budget presentation

Miles to go.....

- Pride in NCLIS and its accomplishments
- Not batting 1.000..... Yet!
- Grateful for the opportunity